

Three topological phases of the elliptic Ginibre ensembles with a point charge

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joint work with Sung-Soo Byun

[\[arXiv:2502.02948\]](https://arxiv.org/abs/2502.02948)

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Log-gases in Caeli Australi

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1. Elliptic Ginibre Ensembles with a Point Charge
2. Sketch of Proof
3. Ongoing Projects
 - Multi-Component Droplet
 - Riemann-Hilbert Problem

Elliptic Ginibre Ensembles with a Point Charge

- **2D Log-gas Ensemble** For $z = (z_j)_{j=1}^N \in \mathbb{C}^N$

$$H_N(z) = \sum_{1 \leq j < k \leq N} \log \frac{1}{|z_j - z_k|} + \frac{N}{2} \sum_{j=1}^N W(z_j),$$

where $W(z) - \log(1 + |z|^2) \rightarrow \infty$.

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- **(Weighted) Logarithmic Energy Functional**

$$I_W(\mu) := \iint_{\mathbb{C}^2} \log \frac{1}{|z - w|} d\mu(z) d\mu(w) + \int_{\mathbb{C}} W(z) d\mu(z),$$

- **Equilibrium Measure**

$$\frac{1}{N} \sum \delta_{z_j} \rightarrow d\mu_W = \operatorname{argmin}_{\|\mu\|=1} I_W(\mu)$$

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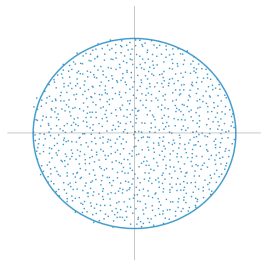
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$$\frac{1}{N} \sum \delta_{z_j} \rightarrow d\mu_W = \operatorname{argmin}_{\|\mu\|=1} I_W(\mu) = \Delta W \cdot \mathbb{1}_{S_W} \frac{d^2 z}{\pi},$$

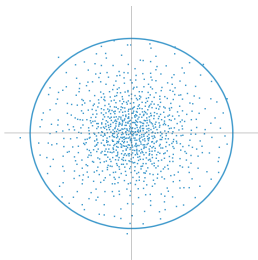
where $\Delta = \partial \bar{\partial}$, and $S_W \subset \mathbb{C}$ is called **droplet**.

Equilibrium Measure Problem



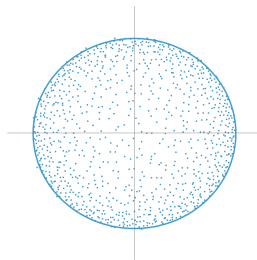
Ginibre UE

$$W(z) = |z|^2$$



Spherical UE

$$W(z) = \rho^{-1} \log(1 + |z|^2)$$



Truncated UE

$$W(z) = \rho^{-1} \log(1 - |z|^2)$$

■ 2D Equilibrium Measure Problems

- Ginibre Unitary Ensemble + Point Charges

[Balogh–Bertola–Lee–McLaughlin '15], [Kieburg–Kuijlaars–Lahiry '25]

- Spherical Unitary Ensemble + Point Charges

[Brauchart–Dragnev–Saff–Womersley '18], [Legg–Dragnev '21],

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- Droplets with Discrete Rotational Symmetry
[Balogh–Merzi '15], [Bleher–Kuijlaars '12], [Kuijlaars–del Rey '22]
- Non-Hermitian Marchenko–Pastur Law (chiral Ginibre)
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■ Other Perspectives

- Riesz Gases
[Agarwal–Dhar–Mulkarni–Kundu–Majumdar–Mukamel–Schehr '19]
- Anisotropic Coulomb Interaction
[Carrillo–Mateu–Mora–Rondi–Scardia–Verdera '19]
- Random Matrices with Additive External Source

■ Elliptic Ginibre Matrix

$$\mathbf{X}_\tau := \frac{\sqrt{1+\tau}}{2}(\mathbf{G} + \mathbf{G}^*) + \frac{\sqrt{1-\tau}}{2}(\mathbf{G} - \mathbf{G}^*)$$

where $\tau \in [0, 1]$ and $\mathbf{G} = \text{Ginibre}$.

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■ External Potential

$$\begin{aligned} W^e(z) &:= \frac{1}{1-\tau^2} (|z|^2 - \tau \operatorname{Re} z^2) \\ &= \frac{x^2}{1+\tau} + \frac{y^2}{1-\tau} \end{aligned}$$

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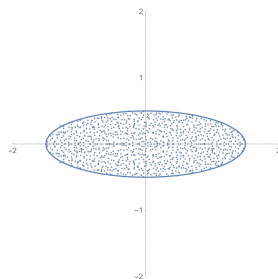
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■ Limiting Spectrum

$$\begin{cases} \tau = 0 & \text{Circular Law} \\ \tau \in (0, 1) & \text{Elliptic Law} \\ \tau = 1 & \text{Semicircle Law} \end{cases}$$



The Elliptic Law
($\tau = 0.5$)

- **Conditional Elliptic Ginibre Matrix**

elliptic Ginibre matrix with non-Hermiticity parameter $\tau \in [0, 1]$

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$$d\mathbf{P}_N(\mathbf{z}) = \frac{1}{Z_N(Q)} \prod_{1 \leq j < k \leq N} |z_j - z_k|^2 \prod_{j=1}^N |z_j - p|^{2cN} e^{-N\mathcal{W}^e(z_j)} \frac{d^2 z_j}{\pi}$$

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■ External Potential

$$\begin{aligned} Q(z) &= W^e(z) + 2c \log \frac{1}{|z - p|} \\ &= \frac{1}{1 - \tau^2} (|z|^2 - \tau \operatorname{Re} z^2) + 2c \log \frac{1}{|z - p|} \\ &= \text{elliptic potential} + \text{point charge insertion} \end{aligned}$$

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Main Goal

For the external potential Q , what is the droplet $S \equiv S_Q$?

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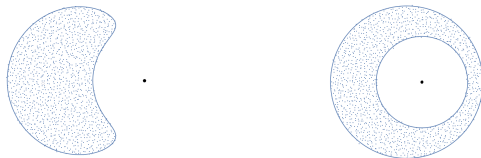
■ Equilibrium Measure

$$d\mu_Q = \Delta Q \cdot \mathbb{1}_{S_Q} \frac{d^2 z}{\pi} = \frac{1}{\pi(1 - \tau^2)} \cdot \mathbb{1}_{S_Q} d^2 z$$

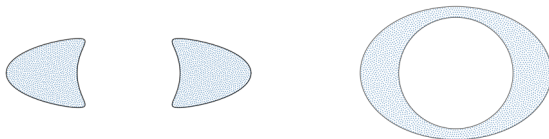
■ Parameters

- $p \geq 0$: position of the point charge insertion
- $c \geq 0$: electrostatic charge
- $\tau \in [0, 1]$: non-Hermiticity parameter

- $\tau = 0$ [Balogh–Bertola–Lee–McLaughlin '15]



- $p = 0$ [Byun '24]



■ Regime I

$$p \leq \min \left\{ 2\sqrt{\frac{2\tau(1+\tau)}{3+\tau^2}}, 2\sqrt{\frac{\tau(1-\tau-2c\tau)}{1-\tau}} \right\} \quad \text{and} \quad 0 \leq c \leq \frac{1-\tau}{2\tau},$$

or

$$2\sqrt{\frac{2\tau(1+\tau)}{3+\tau^2}} \leq p \leq (1+\tau)\sqrt{1+c} - \sqrt{c(1-\tau^2)} \quad \text{and} \quad 0 \leq c \leq \frac{(1-\tau)^3}{2\tau(3+\tau^2)}.$$

■ Regime II

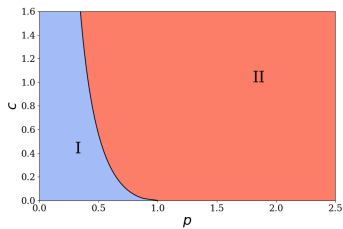
$$c \equiv c(a, \kappa) = \frac{\kappa}{a^2} \frac{(1-a^2)^2(1-\tau a^2) + a^2 \kappa}{(1-a^2)^2(1-\tau^2 + 2\tau\kappa) - \kappa^2},$$

$$p \equiv p(a, \kappa) = \sqrt{\frac{1+\tau}{1-\tau} \frac{(1-\tau)(1-a^2)(1+\tau a^2) - (1-\tau a^2)\kappa}{a\sqrt{(1-a^2)^2(1-\tau^2 + 2\tau\kappa) - \kappa^2}}}.$$

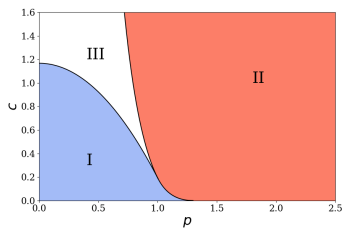
Here, $a \in (0, 1)$ and $\kappa \in [0, \kappa_{\text{cri}})$ where κ_{cri} is the unique zero of $H(a, \cdot)$.

■ Regime III Corresponds to (p, c, τ) lying outside **Regime I** and **II**.

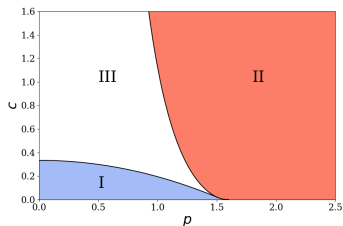
The Phase Diagrams



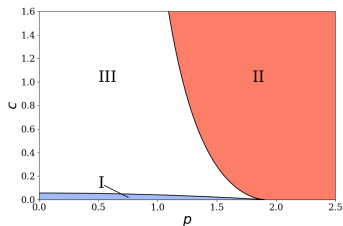
(a) $\tau = 0$



(b) $\tau = 0.3$



(c) $\tau = 0.6$



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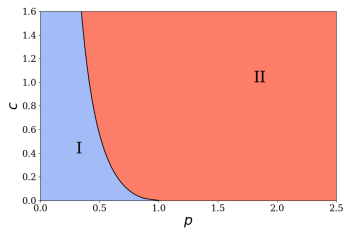
1. *S is doubly connected if and only if $(p, c, \tau) \in \mathbf{Regime\ I}$*
2. *S is simply connected if and only if $(p, c, \tau) \in \mathbf{Regime\ II}$*
3. *S is composed of two disjoint simply connected components if and only if $(p, c, \tau) \in \mathbf{Regime\ III}$*

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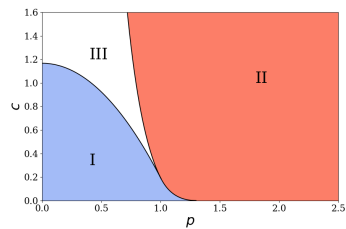
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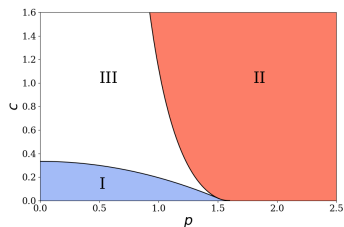
Phase Diagrams Revisited



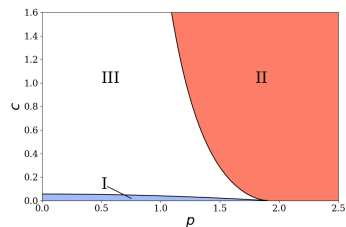
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Theorem 2 (Byun–Y. '25)

Suppose $(p, c, \tau) \in \mathbf{Regime\ I}$. Then $S = E \cap D^c$ where

$$E = \left\{ \left(\frac{x}{1+\tau} \right)^2 + \left(\frac{y}{1-\tau} \right)^2 \leq 1+c \right\}, \quad D = \left\{ (x-p)^2 + y^2 < c(1-\tau^2) \right\}.$$

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$$\mathcal{I}_d(p, c, \tau) := \frac{3}{4} + \frac{3c}{2} - \frac{cp^2}{1+\tau} + \frac{c^2}{2} \log \left(c(1-\tau^2) \right) - \frac{(1+c)^2}{2} \log(1+c).$$

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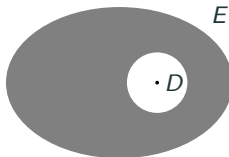
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Remark.

– **Regime I** $\Leftrightarrow D \subset E$.



Theorem 3 (Byun–Y. '25)

Suppose $(p, c, \tau) \in \mathbf{Regime\ II}$. Then S is closure of the interior of the Jordan curve $f(\partial\mathbb{D})$ where

$$f(z) = R \left(z + \frac{\tau}{z} - \frac{\kappa}{z-a} - \frac{\kappa}{a(1-\tau)} \right).$$

Here, $R > 0, a \in (0, 1), \kappa \in [0, \kappa_{\text{cri}})$ are solution of coupled algebraic equations.

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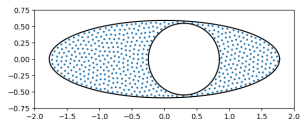
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■ Moments of Characteristic Polynomials

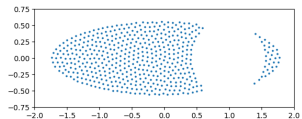
[Webb–Wong '19], [Deaño–Simm '22], [Byun–Seo–Yang '25],

[Deaño–McLaughlin–Molag–Simm '25], [Byun–Forrester–Lahiry '25]

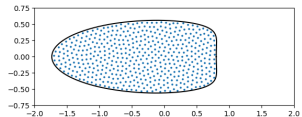
■ Fekete Points Minimizing configuration of Hamiltonian H_N



$p = 0.3$ doubly connected



$p = 1.0$ two simply connected components



$p = 1.5$ simply connected

Fekete Points for $c = 0.4, \tau = 0.5$

The Number of Phases and Duality

Recall that

$$W(z) = \rho^{-1} \log(1 \pm |z|^2), \quad \text{where } \pm = \begin{cases} + & \text{SrUE,} \\ - & \text{TrUE,} \end{cases}$$

$$W(z) = \frac{1}{1 - \tau^2} (|z|^2 - \tau \operatorname{Re} z^2), \quad \text{for elliptic GinUE.}$$

	GinUE	SrUE	TrUE	elliptic GinUE
	+ point charge insertion			
# of parameters	2	3		
# of topological phases	2			3

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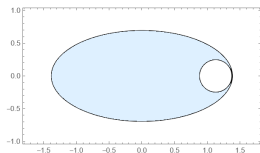
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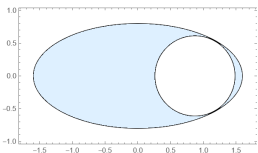
Remark. Dualities in Random Matrix Theory [Forrester '25]

- **GinUE** \leftrightarrow **LUE**
- **SrUE, TrUE** \leftrightarrow **JUE**

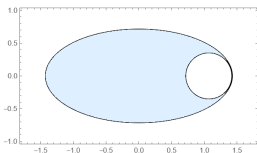
Critical Regimes



(a) Regime I \cap II



(b) Regime I \cap III



(c) Triple Point

- **Regime I \cap II:** single tangent point.
- **Regime I \cap III:** two tangent points.
- **Regime II \cap III:** 2D *birth of a cut*.
- **Triple Point:** single tangent point + identical curvature.

$$c_{\text{tri}} = \frac{(1 - \tau)^3}{2\tau(3 + \tau^2)}, \quad p_{\text{tri}} = 2\sqrt{\frac{2\tau(1 + \tau)}{3 + \tau^2}}.$$

Sketch of Proof

■ Connectivity Bound

- Quadrature Domain (QD) theory
- [Lee–Makarov theorem](#) [Lee–Makarov '16]

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- \Leftarrow [variational conditions](#) (proven in [Byun '24])

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■ Two Simply Connected Components \Leftrightarrow Regime III

Lee–Makarov, *Topology of quadrature domains*, J. Amer. Math. Soc. **29** (2016), 333–369.

Lee–Makarov, *Sharpness of connectivity bounds for quadrature domains*, arXiv:1411.3415.

■ Droplets and Quadrature Domain

for external potential with $\Delta W = \text{const}$,

$$(\text{Droplet } S)^c = \bigcup_{j=1}^m (\text{Quadrature Domain } \Omega_j)$$

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$d = 0$



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■ Conformal Mapping Method

Fact. [Aharonov–Shapiro '76]

Ω is a *simply connected* QD $\Leftrightarrow \Omega$ has a rational Riemann map

For (p, c, τ) fixed, *Assume* that the droplet is simply connected...

■ Ansatz

$$f : \bar{\mathbb{D}}^c \rightarrow \Omega = K^c, \quad d\mu_K = \frac{1}{\pi(1-\tau^2)} \mathbb{1}_K d^2z$$

where K is the *candidate* for the true droplet S .

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■ Euler–Lagrange Conditions

$$\mathcal{U}_K(z) := \int_{\mathbb{C}} \log \frac{1}{|z-w|} d\mu_K(w) + \frac{1}{2} Q(z) \begin{cases} = \ell & z \in K, \\ > \ell & z \notin K. \end{cases}$$

Remark.

- Equality condition \Leftrightarrow QD condition

Ongoing Projects

■ Single-Component vs Multi-Component Droplets

- Asymptotics of Orthogonal Polynomials [Hedenmalm–Wennman '21]
- Free Energy Expansion [Zabrodin–Wiegmann '06], [Byun '25]
- Quadrature Domain [Aharonov–Shapiro '76]

■ Single-Component vs Multi-Component Droplets

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■ Multi-Components with (discrete) Rotational Symmetry

- Radial Symmetry [Ameur–Charlier–Cronvall '22, '23]
- Discrete Rotational Symmetry [Balogh–Merzi '15], [Byun '24]



[Byun '24]

■ Single-Component vs Multi-Component Droplets

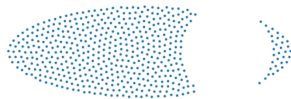
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[Byun '24]



[Byun–Y. '25]

(Joint work with **S.-S. Byun** and **S.-Y. Lee**)

■ **Ansatz** $f : \mathbb{U} \rightarrow S^c$ where

$$f(z) = \alpha \left(\zeta(z - z_0) - \tau \zeta(z + z_0) - (1 - \tau) \zeta(z + \bar{z}_1) \right) + \beta$$

where ζ is the Weierstrass elliptic function of periods $2, 2\omega$.

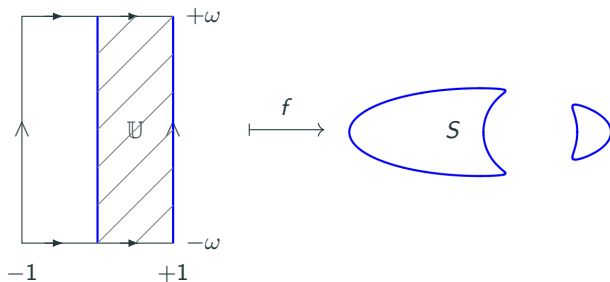
Two Components Case

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■ Critical Cases

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- Two Components \rightarrow Simply Connected when $|\omega| \rightarrow 0$

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(a) $|\omega| = 0.8$



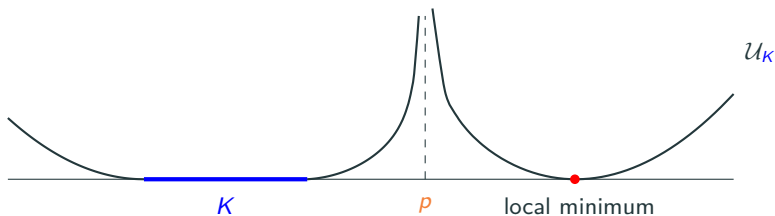
(b) $|\omega| = 1.5$



(c) $|\omega| = 2.5$

■ Critical Cases

- Two Components \rightarrow Doubly Connected when $|\omega| \rightarrow \infty$
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(Joint work with **S.-S. Byun**, **S.-Y. Lee**, and **M. Yang**)

$$Q(z) = \frac{1}{1 - \tau^2}(|z|^2 - \tau \operatorname{Re} z^2) + 2c \log \frac{1}{|z - p|}$$

■ Planar Orthogonality

$$\int_{\mathbb{C}} P_{n,N}(z) \overline{P_{m,N}(z)} e^{-NQ(z)} d^2 z = h_n \delta_{n,m}$$

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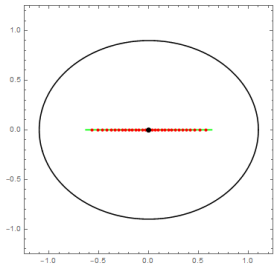
■ Contour Orthogonality

$$\int_{\mathbb{R}} P_{n,N}(x) \omega_{m,N}(x) dx = 0, \quad m = 0, \dots, N-1,$$

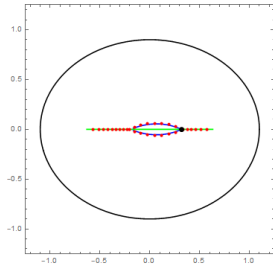
$$\omega_{m,N}(x) = (x - p)^{cN} D_{m+cN} \left(\sqrt{\frac{N}{\tau(1-\tau^2)}} (x - \tau p) \right) \exp \left(\frac{N(x - \tau p)^2}{4\tau(1-\tau^2)} - \frac{Nx^2}{2\tau} \right).$$

where $D_\nu(z)$ is the parabolic cylinder function (Weber–Hermite function).

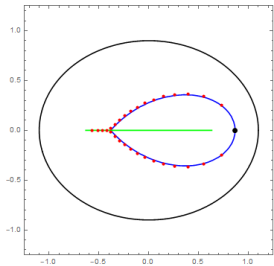
Remark. Planar Orthogonality of Hermite Polynomials [van Eijndhoven–Meyers '90]



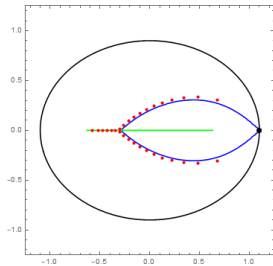
(a) $p = 0$



(b) $p = \sqrt{\tau} \asymp 0.32$



(c) $p = \sqrt{\tau} + (1 + \tau)/2 \asymp 0.87$



(d) $p = 1 + \tau$

Zeros of $P_{N,N}$ for $N = 30, cN = 1, \tau = 0.1$.

■ Type II Multiple Orthogonality

$$\int_{\mathbb{R}} P_{n,N}(x) x^j w_i(x) dx = 0, \quad j = 0, \dots, n_i - 1, \quad i = 1, 2,$$

$$w_1(x) = \omega_{\lfloor \frac{n-1}{2} \rfloor, N}(x), \quad w_2(x) = \omega_{\lfloor \frac{n+1}{2} \rfloor, N}(x), \quad n_1 = \lfloor \frac{n+1}{2} \rfloor, \quad n_2 = \lfloor \frac{n}{2} \rfloor.$$

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$$\deg Q_{n,N} \leq cN - 1, \quad m = 0, \dots, n + cN - 1.$$

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■ Future Directions

- Correlation Kernel at the Point of Small Insertion
[Akemann et al. '97], [Kuijlaars–Vanlessen '03], [Lee–Yang '17]
- Triple Criticality (Painlevé Hierarchy)
[Krüger–Lee–Yang '25]
- Free Energy Expansion (Oscillatory Behavior)
[Ameur–Charlier–Cronvall '23], [Byun '25]

- Droplets for elliptic Ginibre ensembles with a point charge are:
 - Doubly Connected \Leftrightarrow **Regime I**
 - Simply Connected \Leftrightarrow **Regime II**
 - Two Components \Leftrightarrow **Regime III**
- Quadrature Domain Theory and Variational Conditions
- Description of Two Component Droplet by Elliptic Functions
- Formulation of the corresponding Riemann–Hilbert Problem

A night sky filled with stars, with a faint horizon line at the bottom showing distant lights and silhouettes of trees.

Thank you for your attention!

- **Quadrature Domain** Domain Ω is a *QD* if the **quadrature identity**

$$\int_{\Omega} f(\zeta) \frac{d^2 \zeta}{\pi} = \sum_{k=1}^n c_k f^{(m_k)}(a_k) = \frac{1}{2\pi i} \int_{\partial\Omega} f(\zeta) r_{\Omega}(\zeta) d\zeta$$

holds for all integrable analytic f on Ω . Here

$$r_{\Omega}(\zeta) := \sum_{k=1}^n \frac{c_k m_k!}{(\zeta - a_k)^{m_k+1}}$$

is called the **quadrature function** of Ω .

- **Order of a QD** $\Omega := \deg r_{\Omega}$

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- **Mean Value Property of $\mathbb{D} \subset \text{QD}$**

$$\int_{\mathbb{D}} f(\zeta) \frac{d^2 \zeta}{\pi} = f(0) = \frac{1}{2\pi i} \int_{\partial \mathbb{D}} f(\zeta) \frac{1}{\zeta} d\zeta.$$

■ Algebraic Hele–Shaw Potentials

$$\frac{1}{t}W(\zeta) = |\zeta|^2 - H(\zeta), \quad \textcolor{red}{h}(\zeta) = \partial H(\zeta) : \text{rational in } \zeta.$$

Theorem 4 (Lee–Makarov)

Let $S \equiv S_W$ be the droplet respect to an algebraic Hele–Shaw potential W .

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Let d be the degree of $\textcolor{red}{h}$ and q_j be the number of QDs with connectivity $j \geq 1$. Then

$$\#(\text{ovals}) + q_{\text{odd}} + 4(q - q_1) \leq 2d + 2,$$

where $q = \sum_j q_j$, and $q_{\text{odd}} = \sum_{j=\text{odd}} q_j$.

In particular, above connectivity bound is sharp.

Lee–Makarov, *Topology of quadrature domains*, J. Amer. Math. Soc. **29** (2016), 333–369.

Lee–Makarov, *Sharpness of connectivity bounds for quadrature domains*, arXiv:1411.3415.

■ Elliptic Ginibre Ensemble with Point Charge Insertion

$$(1 - \tau^2)Q(\zeta) = |\zeta|^2 - \tau \operatorname{Re} \zeta^2 - 2c(1 - \tau^2) \log |\zeta - p|,$$

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– Doubly Connected Case

$$r_{\Omega_1}(\zeta) = \tau\zeta, \quad r_{\Omega_2}(\zeta) = \frac{c(1 - \tau^2)}{\zeta - p}, \quad \Omega_1 \cup \Omega_2 = S^c$$

– Simply Connected Case/Two Component Case

$$r_{\Omega}(\zeta) = h(\zeta), \quad \Omega = S^c = \text{Simply/Doubly Connected}$$

If Ω is a quadrature domain then

$$\bar{\zeta} = r_{\Omega}(\zeta) + C_{\Omega^c}(\zeta), \quad \zeta \in \partial\Omega$$

where C_{Ω^c} is the Cauchy transform respect to $\mathbb{1}_{\Omega^c} d^2\zeta/\pi$.

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For a *simply connected* Ω ,

$$\overline{f(1/\bar{z})} = r_{\Omega}(f(z)) + C_{\Omega^c}(f(z)), \quad f: \mathbb{D}^c \rightarrow \Omega \text{ rational, conformal}$$

Corollary 5 (Byun–Y. '25)

Let $\mathbf{X} \equiv \mathbf{X}_\tau$ be elliptic Ginibre matrices of size $N \times N$.

Let $c > 0$, $\tau \in [0, 1)$, $z \in \mathbb{R}$ be fixed.

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$$\log \mathbb{E} \left[\left| \det(\mathbf{X} - z) \right|^{2cN} \right] = \begin{cases} \mathcal{K} N^2 + \mathcal{E}_N, & \text{for the complex case} \\ 2\mathcal{K} N^2 + \mathcal{E}_N, & \text{for the symplectic case} \end{cases}$$

where

$$\mathcal{K} = -I_Q(\mu_Q) + \frac{3}{4} = \begin{cases} -\mathcal{I}_d(z, c, \tau) + \frac{3}{4} & \text{if } (p = z, c, \tau) \in \text{Regime I,} \\ -\mathcal{I}_s(z, c, \tau) + \frac{3}{4} & \text{if } (p = z, c, \tau) \in \text{Regime II.} \end{cases}$$

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Here, the error term is given by

$$\mathcal{E}_N = \begin{cases} o(N^{1/2+\epsilon}) & \text{for the complex case,} \\ o(N^2) & \text{for the symplectic case.} \end{cases}$$

■ Partition Function and Characteristic Polynomial

$$\mathbb{E} \left[\left| \det(\mathbf{X} - \mathbf{z}) \right|^{2cN} \right] = Z_N(Q) / Z_N(W^e)$$

where

$$W^e(\zeta) = \frac{1}{1 - \tau^2} (|\zeta|^2 - \tau \operatorname{Re} \zeta^2), \quad Q(\zeta) = W^e(\zeta) - 2c \log |\zeta - \mathbf{z}|.$$

■ Free Energy Expansions

Based on

$$\log Z_N^{\mathbb{C}}(W) = -I_W(\mu_W) N^2 + o(N^2),$$

$$\log Z_N^{\mathbb{H}}(W) = -2I_W(\mu_W) N^2 + o(N^2),$$

The leading term \mathcal{K} is given by

$$\mathcal{K} = -I_Q(\mu_Q) + I_{W^e}(\mu_{W^e}) = -I_Q(\mu_Q) + \frac{3}{4}.$$

Remark.

– Topological Dependence

The coefficient of $\log N$ term is expected to be

$$\begin{cases} \frac{1}{2} - \frac{1}{12}\chi(S_W) & \text{for the complex case} \\ \frac{1}{2} - \frac{1}{24}\chi(S_W) & \text{for the symplectic case} \end{cases}$$

[Jancovici–Manificat–Pisani '94], [Télez–Forrester '99], [Byun–Kang–Seo '23]

– Ginibre Case For $\gamma = O(1)$ or cN and $|z| < 1$,

$$\mathbb{E}[|\det(\mathbf{G} - z)|^{2\gamma}] = N^{-\gamma N} e^{\gamma N |z|^2} \frac{G(1 + \gamma + N)}{G(1 + \gamma)G(1 + N)} (1 + o(1)).$$

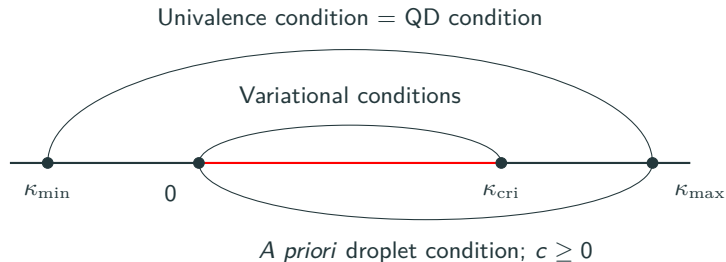
[Webb–Wong '19], [Deaño–Simm '22], [Byun–Seo–Yang '24]

– Other Models

[Fyodorov '16], [Fyodorov–Tarnowski '21], [Kivimae '24]

[Deaño–McLaughlin–Molag–Simm '25]

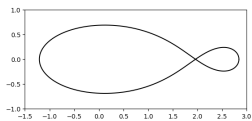
A Priori Droplet Condition



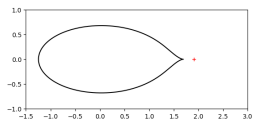
Remark.

- Condition $c \geq 0$ gives $\kappa \geq 0$.
- Condition $p \geq 0$ gives $a \in (0, 1)$.

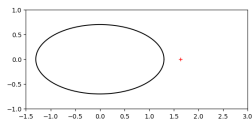
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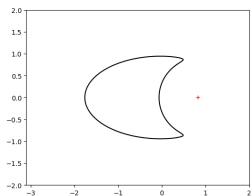
(a) $\kappa < \kappa_{\min}$



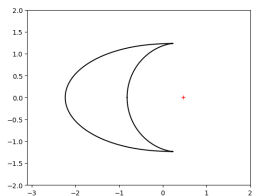
(b) $\kappa = \kappa_{\min}$



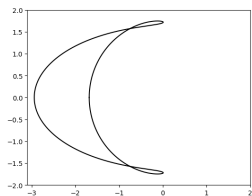
(c) $\kappa = 0$



(d) $\kappa = \kappa_{\text{cri}}$



(e) $\kappa = \kappa_{\max}$



(f) $\kappa > \kappa_{\max}$